

# Privacy Policy and Client Privacy Notice

## Purpose Capital LLC

**Effective Date:** March 11, 2026

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### Our Commitment to Protecting Your Privacy

Purpose Capital LLC (“the Firm,” “we,” “our,” or “us”) respects the privacy of our clients and is committed to protecting the confidentiality of your personal information.

This Privacy Policy describes how we collect, use, and safeguard **nonpublic personal information** about our clients. We maintain physical, electronic, and procedural safeguards designed to protect your information in accordance with applicable laws and regulations.

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### Information We Collect

In order to provide financial advisory services, we may collect nonpublic personal information about you from the following sources:

#### Information You Provide to Us

Information that you provide directly, such as:

- name, address, telephone number, and email address
- financial information, including income, assets, liabilities, and investments
- financial goals and objectives
- other personal information necessary to provide financial planning services

#### Information From Transactions or Services

Information that may arise from our interactions with you, including:

- financial planning information

- advisory service records
- billing and payment records

## Information From Other Sources

In limited circumstances, we may receive information from third parties such as financial institutions or other service providers when authorized by you.

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## How We Use Your Information

We use your personal information to:

- provide financial planning and advisory services
- develop recommendations tailored to your financial situation
- communicate with you about your financial plan or services
- maintain required regulatory records

We do not sell your personal information.

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## How We Share Information

We do **not disclose nonpublic personal information about current or former clients to non-affiliated third parties**, except as permitted or required by law.

We may share information in the following limited circumstances:

### Service Providers

We may share information with trusted service providers who assist us in operating our business, such as:

- technology service providers
- cloud storage or recordkeeping providers
- professional service providers such as attorneys or accountants

These providers are expected to maintain appropriate confidentiality safeguards.

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## As Required by Law

We may disclose information when required to comply with legal or regulatory obligations, including:

- regulatory examinations
  - law enforcement requests
  - court orders or subpoenas
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## Protection of Your Information

We maintain safeguards designed to protect client information from unauthorized access or use.

These safeguards include:

### Physical Safeguards

- secure storage of paper records
- controlled access to areas where sensitive information is maintained

### Electronic Safeguards

- password-protected systems
- secure data storage
- encryption or secure platforms where available

### Procedural Safeguards

- limiting access to client information only to those who need it to perform their duties
  - maintaining policies and procedures designed to protect client privacy
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## Former Clients

We maintain the same privacy protections for former clients as we do for current clients.

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## Your Rights

Clients may contact us at any time with questions regarding this Privacy Policy or the handling of their personal information.

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## Updates to This Policy

We may update this Privacy Policy from time to time as our business practices or legal requirements change. If material changes occur, we will provide updated notices to clients as required by law.

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## Contact Information

If you have questions about this Privacy Policy or our privacy practices, please contact:

**Purpose Capital LLC**  
3009 Swanns Inn Cres  
Goochland, VA 23063  
[jim.duby@gmail.com](mailto:jim.duby@gmail.com)  
(804) 426-9817

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## Acknowledgment

Clients may receive this Privacy Policy at the start of the advisory relationship and periodically thereafter as required by law.